Docket: 0756-7220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re DIVISIONAL application of)	
SETSUO NAKAJIMA et al.)	
Based on Serial No. 09/764,432)	Group Art Unit: 2823
Filed: January 19, 2001)	Examiner: W. Brewster
For: METHOD OF FABRICATING)	
SEMICONDUCTOR DEVICE)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

The references listed on the attached Form PTO-1449 were cited in parent application Serial No. 09/764,432 and parent application Serial No. 09/114,223 and copies of the references can be found in those applications.

Respectfully submitted,

Eric J. Robinson

Reg. No. 38,285

Robinson Intellectual Property Law Office

PMB 955

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Substitute	Substitute for form 1449A/PTO			Complete if Known		
INFO	RMATION I	DISC.	OSUBE	Application Number	Based on 09/764,432	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	January 19, 2001	
SIAI				First Named Inventor	Setsuo NAKAJIMA, et al.	
	(use as many sheets	as necesso	ary)	Group Art Unit	2823	
				Examiner Name	William M. Brewster	
Sheet	1	of	5	Attorney Docket Number	0756-7220	
		1	1	•	1	

				U.S. PATENT DOCUMENT	ΓS	
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number Kind Code ²		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
	4	5.0(2.971	(if known)	7hana at al	10/05/00	
		5,962,871		Zhang et al.	10/05/99	
		5,830,784		Zhang et al.	11/03/98	
		5,736,420		Min et al.	04/07/98	
		5,700,333		Yamazaki	12/23/97	
		5,616,507		Nakai	04/01/97	
		5,605,847		Zhang	02/25/97	
		5,915,174		Yamazaki et al.	06/22/99	
		5,961,743		Yamazaki et al.	10/05/99	
		5,977,559		Zhang et al.	11/02/99	
		5,843,225		Takayama et al.	12/01/98	
		5,244,819		Yue	09/14/93	
		5,275,851		Fonash et al.	01/04/94	
		5,403,772		Zhang et al.	04/04/95	
		5,608,232		Yamazaki et al.	03/04/97	
		4,561,171		Schlosser	12/03/85	
		5,426,061		Sopori	06/20/95	
		5,085,711		Iwamoto et al.	02/04/92	
		5,380,372		Campe et al.	01/10/95	
	· ·	5,620,910		Teramoto	04/15/97	
		5,621,224		Yamazaki et al.	04/15/97	
		5,624,851		Takayama et al.	04/29/97	
		5,637,515		Takemura	06/10/97	

			F	OREIGN PATENT DOC	UMENTS		
Examiner Initials*	Cite No.		Foreign Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Office ³	Kind Code ³ Number ⁴ (if known)				⊤್
		JP	06-268212		09/22/94		Abst.
		JP	03-229415		10/11/91		Abst.
		JP	06-333824		12/02/94		Abst.

Examiner	Date	
Signature	 Considered	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE			Complete if Known		
			Application Number	Based on 09/764,432	
			Filing Date	January 19, 2001	
		PPLICANT	First Named Inventor	Setsuo NAKAJIMA, et al.	
(use as	many sheets as ne	ecessary)	Group Art Unit	2823	
			Examiner Name	William M. Brewster	
Sheet 2	of	5	Attorney Docket Number	0756-7220	

	<u> </u>			0730-						
			U.S. PATENT DOCUMEN	TS						
Examiner Initials*	Cite No.¹	U.S. Patent Document Number Kind Code (if known)		Date of Publication of Cited Document MM-DD-YYYY	Document Passages or Relevant Figures Ap					
		5,639,698	Yamazaki et al.	06/17/97						
		5,643,826	Ohtani et al.	07/01/97						
		5,646,424	Zhang et al.	07/08/97						
		5,654,203	Ohtani et al.	08/05/97						
		5,656,825	Kusumoto et al.	08/12/97						
		5,663,077	Adachi et al.	09/02/97						
		5,677,549	Takayama et al.	10/14/97						
		OTHER !	PRIOR ART - NON PATENT LITERA	TURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.								
		Hempel et al., "Needle-Like Crystallization of Ni Doped Amorphous Silicon Thin Films," pp. 921-924, March 1993, Solid State Communications, Vol. 85, No 11.								
		Kakkad et al., "Low Temperature Selective Crystallization of Amorphous Silicon," pp. 66-68, December 1989, Journal of Non-Crystalline Solids, Vol. 115.								
		Dvurechenski et al., "Transport Phenomena in Amorphous Silicon Doped by Ion Implantation of 3D Metals," pp. 635-640, November 1989, Phys. Stat. Sol., Vol. 95.								
		Hayzelden et al., "In situ Transmission Electron Microscopy Studies of Silicide-Mediated Crystallization of Amorphous Silicon," pp. 225-227, October 1991, Appl. Phys. Lett., Vol. 60, No. 2.								
		Kakkad et al., "Crystallized Si Films by Low-Temperature Rapid Thermal Annealing of Amorphous Silicon," pp. 2069-2072, November 1988, Journal of Applied Physics, Vol. 65.								
		Liu et al., "Polycrystalline Silicon Thin Film Transistors on Corning 7059 Glass Substrates Using Short Time, Low-Temperature Processing," pp. 2554-2556, February 1993, Appl. Phys. Lett., Vol. 62, No. 20.								
		Temperature Rapid 55, No. 7.	Area Crystallization of Amor Γhermal Annealing," pp. 660-	662, June 1989, Appl.	. Phys. Lett., Vol.					
			icture and Transport Propertie, IEEE 16 th Int'l Conference o		SiGe Films," pp.					

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Γ	Examiner	Date	
ı	Signature	Considered	

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				Filing Date	January 19, 2001	
SIAI	EMENT BY			First Named Inventor	Setsuo NAKAJIMA, et al.	
	(use as many sheets o	as necesso	ary)	Group Art Unit	2823	
				Examiner Name	William M. Brewster	
Sheet	3	of	5	Attorney Docket Number	0756-7220	

				U.S. PATENT DOCUMENT	rs	
Examiner Initials	Cite No.	U.S. Patent Documen Number Kind (if km)	Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,696,011	T	Yamazaki et al.	12/09/97	
	- 	5,696,386	\vdash	Yamazaki	12/09/97	
-	 	5,696,388	1	Funada et al.	12/09/97	
** **		5,705,829	1	Miyanaga et al.	01/06/98	
		5,712,191	1	Nakajima et al.	01/27/98	
		5,744,824	1	Kousai et al.	04/28/98	
		5,789,284	1	Yamazaki et al.	08/04/98	
		5,796,116	T	Nakata et al.	08/18/98	
		5,828,429		Takemura	10/27/98	
		5,897,347		Yamazaki et al.	04/27/99	
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	-	6,071,766		Yamazaki et al.	06/06/00	
		4,692,345		Nishiura et al.	09/08/87	·
		5,147,826	-	Liu et al.	09/15/92	
		5,229,306		Lindberg et al.	07/20/93	
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		5,481,121		Zhang et al.	01/02/96	
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		5,492,843		Adachi et al.	02/20/96	
		5,501,989		Takayama et al.	03/26/96	
		5,508,533		Takemura	04/16/96	
		5,529,937		Zhang et al.	06/25/96	
		5,534,716		Takemura	07/09/96	
		5,543,352		Ohtani et al.	08/06/96	
		5,563,426		Zhang et al.	10/08/96	
	1.	5,569,610		Zhang et al.	10/29/96	· ·

ſ	Examiner	Date
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Substitut	e for form 1449A/PTO			Complete if Known		
INEC	RMATION I	חופרי	OSLIDE	Application Number	Based on 09/764,432	
				Filing Date	January 19, 2001	
51A.	TEMENT BY			First Named Inventor	Setsuo NAKAJIMA, et al.	
	(use as many sheets t	as necessi	ary)	Group Art Unit	2823	
				Examiner Name	William M. Brewster	
Sheet	4	of	5	Attorney Docket Number	0756-7220	
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		5,569,936		Zhang et al.	10/29/96		
		5,580,792		Zhang et al.	12/03/96		
		5,585,291		Ohtani et al.	12/17/96		
		5,589,694		Takayama et al. *	12/31/96		
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-		5,604,360		Zhang et al.	02/18/97		
		5,605,846		Ohtani et al.	02/25/97		
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		4,994,399		Aoki	02/19/91		
		6,251,712		Tanaka et al.	06/26/01		
		6,048,758		Yamazaki et al.	04/11/00		
		6,218,219		Yamazaki	04/17/01		
		6,242,290		Nakajima et al.	06/05/01		
		6,156,628		Ohnuma et al.	12/05/00		
		6,133,073		Yamazaki et al.	10/17/00		
		5,961,743		Yamazaki et al.	10/05/99		
		5,893,730		Yamazaki et al.	04/13/99		
	ŀ	5,705,829		Miyanaga et al.	01/06/98		
		6,424,012		Kawasaki et al.	07/23/02		
	l	6,326,642		Yamazaki et al.	12/04/01		
		6,281,552		Kawasaki et al.	08/28/01		
		6,197,624		Yamazaki	03/06/01		
		5,998,841		Suzawa	12/07/99		
		5,895,935		Yamazaki et al.	04/20/99		
		6,383,852		Zhang et al.	05/07/02		

Examiner	Date	1
Signature	Considered	

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INTO	RMATION I)ISC	OSLIDE	Application Number	Based on 09/764,432	
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U.S. PATENT DOCUMENTS								
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		Number Kind Code ² (if known)						
		6,380,046		Yamazaki	04/30/02			
		6,359,320		Yamazaki et al.	03/19/02			
		6,346,070		Ohmatsuzawa et al.	02/12/02			
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Examiner Initials Cite Include name of the author (in CAPITAL LETTERS), title of the article (item (book, magazine, journal, serial, symposium, catalog, etc.)., date, pag publisher, city and/or country where publisher			og, etc.)., date, page(s), volum	priate), title of the e-issue number(s),	T ²			
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Examiner	Date	
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